

**PATENT APPLICATION FEE DETERMINATION RECORD**  
Effective October 1, 2000

Application or Docket Number

09739989

**CLAIMS AS FILED - PART I**

(Column 1) (Column 2)

TOTAL CLAIMS	17	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	17 minus 20 =	0
INDEPENDENT CLAIMS	2 minus 3 =	0
MULTIPLE DEPENDENT CLAIM PRESENT		<input type="checkbox"/>

\* If the difference in column 1 is less than zero, enter "0" in column 2

SMALL ENTITY  
TYPE  OR OTHER THAN  
OR SMALL ENTITY

RATE	FEES	RATE	FEES
BASIC FEE	355.00	OR BASIC FEE	710.00
X\$ 9=		OR X\$18=	?
X40=		X80=	?
+135=		+270=	
TOTAL		OR TOTAL	710.00

**CLAIMS AS AMENDED - PART II**

(Column 1) (Column 2) (Column 3)

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	
Total	17	Minus	.. 20	= -
Independent	5	Minus	... 3	= 2
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>				

SMALL ENTITY OR OTHER THAN  
OR SMALL ENTITY

RATE	ADDI- TIONAL FEE	RATE	ADDI- TIONAL FEE
X\$ 9=		OR X\$18=	
X40=		X80=	16.82
+135=		+270=	
TOTAL ADDIT. FEE		OR TOTAL ADDIT. FEE	

(Column 1) (Column 2) (Column 3)

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	
Total	17	Minus	.. 17	= -
Independent	5	Minus	... 5	= -
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>				

SMALL ENTITY OR OTHER THAN  
OR SMALL ENTITY

RATE	ADDI- TIONAL FEE	RATE	ADDI- TIONAL FEE
X\$ 9=		OR X\$18=	
X40=		X80=	
+135=		+270=	
TOTAL ADDIT. FEE		OR TOTAL ADDIT. FEE	

(Column 1) (Column 2) (Column 3)

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	
Total	19	Minus	.. 17	=
Independent	5	Minus	... 3	= 2
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>				

SMALL ENTITY OR OTHER THAN  
OR SMALL ENTITY

RATE	ADDI- TIONAL FEE	RATE	ADDI- TIONAL FEE
X\$ 9=		OR X\$18=	
X40=		X80=	
+135=		+270=	
TOTAL ADDIT. FEE		OR TOTAL ADDIT. FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

BEST AVAILABLE COPY

JC971 US PTO

- SIGNATURES MISSING - A

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No.: D/A0489Q	Total Pages: 31
		First Named Inventor or Application Identifier	
		Jaan Noolandi et al.	
		Express Mail Label No.: EE790765644US	EE790765644US
<b>APPLICATION ELEMENTS</b>		ADDRESS TO:	
See MPEP Chapter 600 concerning utility patent application contents.		Commissioner for Patents Box Patent Application Washington, DC 20231	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification (incl. claims) (Total Pages: 15)</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets: 5)  <input checked="" type="checkbox"/> Informal <input type="checkbox"/> Formal             Use Figure for front page of Publication.</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages: 2)            a. <input type="checkbox"/> Newly executed (original or copy)            b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))  <small>(for continuation/divisional) with Box 17 completed [Note Box 5 below]</small>  <input type="checkbox"/> I. DELETION OF INVENTOR(S)  <small>Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>5. <input type="checkbox"/> Incorporation By Reference  <small>(usable if Box 4b is checked)</small>  <small>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</small></p> <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission  <small>(If applicable, all necessary)</small>            a. <input type="checkbox"/> Computer Readable Copy            b. <input type="checkbox"/> Paper Copy (Identical to computer copy)            c. <input type="checkbox"/> Statement verifying identity of above copies</p>			
<b>ACCOMPANYING APPLICATION PARTS</b>			
<p>8. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney  <small>(when there is an assignee)</small></p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)  <small>(Should be specifically itemized)</small></p> <p>14. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)  <small>(If foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> Other:</p>			
<p>17. <input type="checkbox"/> If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-part (CIP) of prior application No: /</p>			
<b>18. CORRESPONDENCE ADDRESS</b>			
<input type="checkbox"/> Same as prior application		<input checked="" type="checkbox"/> Correspondence address below	
NAME	John E. Beck		
ADDRESS	Xerox Corporation, Xerox Square - 20A		
CITY	Rochester	STATE	NY
ZIP CODE	03/E0410	314644	100-00000
COUNTRY	U.S.A.	TELEPHONE	310-333-3663
FAX	716-423-5240	or	716-423-2750

Attorney Docket No. D/A0489Q

19.  Cancel in this application original claims: \_\_\_\_\_ of the prior application before calculating the filing fee.  
(At least one original independent claim is retained for this filing).
20.  The filing fee is calculated below:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELED BY ABOVE-INDICATED AMENDMENT(S)				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))	17 - 20 =	0	x \$ 18	= \$0.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	2 - 3 =	0	x \$ 80	= \$0.00
MULTIPLE DEPENDENT CLAIMS (IF APPLICABLE) (37 CFR 1.16(d))		ANY - 0	\$ 270	= \$0.00
BASIC FEE (37 CFR 1.16(a))				\$710.00
TOTAL				\$ 710.00

21.  The Commissioner is hereby authorized to charge any filing or prosecution fees which may be required, under 37 CFR 1.16, 1.17, and 1.21 (but not 1.18), or to credit any overpayment, to Account No. 24-0025. An additional copy of this form is enclosed.
22.  This is an authorization under 37 CFR 1.136(a)(3) to treat any concurrent or future reply, requiring a petition for extension of time, as incorporating a petition for the appropriate extension of time.
23.  Amend the specification by inserting before the first line the sentence:  
--This application is a  continuation  continuation-in-part  divisional  
of Application(s) No(s). , filed --
24.  A CIP declaration is enclosed.
25.  Power of Attorney
- The power of attorney appears in the original papers of the enclosed prior application.
  - Enclosed is a copy of the declaration and power of attorney from the enclosed prior application.
  - A new declaration with power of attorney is enclosed.

**Attorney Docket No.: D/A0489Q**

26.  The following inventors named in the prior application are deleted per 37 CFR 1.53(b)(1), 1.63(d)(2) and 1.33 (b):
27.  This application is adding one or more inventors under 37 CFR 1.48 to a previously executed application, with an enclosed: petition, fee, newly executed declaration from all inventors, and written consent of the assignee.
28.  This application claims the priority benefit of one or more Provisional Application No(s). and the first sentence of this application has been or will be amended to so indicate.
29.  Priority is claimed from (reinsert all previous priority claims for the entire chain of any prior applications).
30.  This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
31.  The assignee of this application to be indicated on its publication is Xerox Corporation.
32.  Other paper(s) enclosed:

Respectfully submitted,

  
\_\_\_\_\_  
Kent M. Chen  
Signature per 37 CFR 1.33 & 34  
Date: 12/15/2000  
Registration No. 39,630  
Telephone No. 310-333-3663